



中國中期證券有限公司 CHINA CIFCO SECURITIES CO., LIMITED

戶口服務種類更改書 Account Type Amendment Form

客戶姓名 Client Name _____

客戶號碼 Client Number _____

A. 增設服務/戶口 Additional Services/Account

增設股票期權戶口 Additional Stock Options Account

請按照期權協議為我/我們(在下面簽名的客戶)開立期權帳戶。我/我們已經明白期權帳戶協議的條款，並接受這些條款的約束。

Please open an Options Account for me/us, the undersigned Client(s), in accordance with the terms set out in the Options Trading Agreement, which I/We have read and accept to be bound by the same.

關於SEOCH基於證券組合的按金的確認

Acknowledgement in respect of SEOCH Margining on a portfolio basis

我/我們(在下方簽名的客戶)在此授權公司向香港聯合交易所期權結算所(簡稱[期權結算所])提交關於我/我們之持倉由期權結算所以組合基礎計算及收取有關之按金。I/We the undersigned Client, hereby authorize the company to submit a claim with the SEHK Options Clearing House Ltd. ("SEOCH") in respect of my/our open positions to the effect that SEOCH will calculate and collect margin in respect of such positions on a portfolio basis.

B. 更改戶口 Change of Account Type

由現金戶口轉為孖展戶口 Cash Account Change To Margin Account

請為我/我們(在下面簽署的客戶)轉為孖展戶口。我/我們已經閱讀並明白附表5中闡明孖展客戶協議的條款，並接受這些條款的約束。Please close my/our cash account and open a new margin account for me/us, the undersigned Client(s), I/We have read and understand the provisions of the Margin Client Agreement as set out in Schedules 5 and accept to be bound by the same.

由孖展戶口轉為現金戶口 Margin Account Change To Cash Account

請為我/我們(在下面簽署的客戶)取消孖展帳戶並開立現金帳戶，我們已經閱讀並明白證券帳戶協議的條款，並接受這些條款的約束。Please close my/our margin account and open a new cash account for me/us, the undersigned Client(s). I/We have read and understand the provisions of the Securities Client Agreement and accept to be bound by the same.

本人/吾等確認 I/We acknowledge that:

i. 本人/吾等曾被邀請細閱中國中期證券有限公司之客戶協議書中附表三的的風險披露聲明內容，如本人/吾等有需要，本人/吾等可以發問及尋求獨立意見。I was/We were invited to read the Risk Disclosure Statement in Schedule 3 of the Client Agreement with China CIFCO Securities Co., Limited, ask questions and take independent advice, if I/We wished.

ii. 協議書及附表內容均以本人/吾等所選擇的語言〔中文或英文〕提供。The agreement and the schedules attached were provided in a language (English or Chinese) of my choice.

iii. 本協議書內所載列之個人資料僅用作財務建議服務的申請。客戶個人資料將依據本公司客戶協議中的個人資料收集聲明來處理。The personal data herein shall be use for processing your application for change of account type. Handling of personal data will be in accordance with the Company's Personal Information Collection Statement, as stated in the Client Agreement provided upon account opening.

iv. 中國中期證券有限公司於收妥閣下申請表後7工作天內以書面形式確認閣下之申請，或以電話形式通知閣下之申請不被接納。China CIFCO Securities Co., Limited shall either confirm your application by written notice or inform you of our refusal thereof via telephone no later than 7 working days after receipt of it.

客戶簽署(公司蓋章) Client's Signature (With Company Chop)

日期 Date

C. 職員或客戶主任聲明 Declaration by Staff/A.E

(請用正楷填寫 Please complete in block letters)

我_____, 確認已經以附件3的形式向客戶提供了風險披露聲明, 詢問有關問題, 如果客戶要求, 亦提供了獨立的意見。

I, _____ confirm that I have provided that Risk Disclosure Statement as set out in schedule 3 in a language (English or Chinese) of the Client's choice and that I have invited the Client to read the Risk Disclosure Statement, ask questions and take independent advice, if the Client wishes.

Signature : _____ Name: _____ CE No. _____ Date: _____

For Official Use

Approved By

Input By

Verified By

香港銅鑼灣告士打道255-257號信和廣場29樓2903室

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